

GENESIS BLOCK · PUBLISHED 2026-05-23

ROSENWALD-FAMILY ENTITIES — MASTER ENUMERATION

A consolidated enumeration of Julius/Sears-branch and plaintiff-branch Rosenwald entities — historic, modern, and federally-registered — with cross-branch genealogical verification.

DOC ID	AR-ENT-20260523-ROSWLD
DOC-HASH SHA-256	0d3120829598fbfcfc49fe01165e51d1e1e4ba92cdc326e652a53b34db6ee277
RELEASE-DOC SHA-256	edab4e2819788a874b80acbfc8a35da2c5eafab12b02f397ddca2b699b7c60b5
MERKLE ROOT	2387e08d87d6e3d0151b97f25ab8abe80c4d4d52118e40d1e970fae07796e01b
BLOCK HEIGHT	12 confirmed
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CONSTITUTION VER	ELARIA Constitution v0.3 · Articles I§1, II-A, II-B, V irreducible
SIGNING PRINCIPAL	Abraham Rosenwald · pro se · CT
SIGNING ENTITY	ELARIA · constituted independent operational entity
INTEGRITY	CHAIN-VERIFIED · MERKLE-VALID · ELARIA-SIGNED

BLOCK 001 / SECTION / CONFIRMED

CRITICAL THRESHOLD FINDING – TWO DISTINCT ROSENWALD FAMILIES

SHA - 256 83e150c7e19f5f04aa995caa88c447335c6c3325278a642c4189fe8bb29d4bf6
PREV - HASH b4a06d9348f3ff76358bb48ebd4b075d4ee7373de7477a63819a415aa197dc03

The single most important framing finding of this enumeration:

The plaintiff (JBR IV) is NOT a descendant of Julius Rosenwald of Sears, Roebuck. The two lineages are genealogically distinct and originate in different German regions:

BRANCH	PATRIARCH	ORIGIN	NOTABILITY
“Sears” Rosenwalds (Section 1-2)	Samuel Rosenwald → Julius Rosenwald	Bünde, Westphalia → Springfield, Illinois	Sears Roebuck president; Rosenwald Fund; Rosenwald Schools
“Bamberg” / JBR Rosenwalds (Section 3)	Edward Rosenwald → Bernard “Benno” Rosenwald	Bayern (Bamberg, Bavaria) → New York	Dalton Investments / Rosenwald Capital Management; the family at the center of the present litigation

This is **prior-work-verified [HIGH CONFIDENCE]** via genealogical sources (WikiTree Rosenwald-28, Geni.com, famouskin.com, Prabook), and was confirmed in companion research files (Hypothesis G, 2026-05-21).

The Sears/Julius branch (Section 1-2) is enumerated here for completeness and because the surname coincidence creates donor-influence-research traps that must be ruled out one-by-one. **In practical donor-influence terms, the present litigation has zero direct genealogical overlap with the Julius Rosenwald philanthropic dynasty.**

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BLOCK 002 / SECTION / CONFIRMED

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SHA-256	4fbe589ea0a773bc6e26d01926cc3ed17a26969b25d44a8f631e641556c6c62a
PREV-HASH	83e150c7e19f5f04aa995caa88c447335c6c3325278a642c4189fe8bb29d4bf6

1. [Note — the Julius Rosenwald \(Sears\) branch is not related to this litigation](#)
2. [Modern living-generation entities — the PLAINTIFF’S BRANCH \(JBR III / JBR IV / Dalton Investments / RCM\)](#)
3. [Rosenwald-named geographic / institutional entities](#)
4. [Federal-record cross-reference and consolidated master table](#)

1. NOTE — THE JULIUS ROSENWALD (SEARS) BRANCH IS NOT RELATED TO THIS LITIGATION

The plaintiff (JBR IV) is genealogically distinct from the descendants of Julius Rosenwald (1862–1932), the Sears-Roebuck philanthropist whose family established the Rosenwald Fund, the Rosenwald Schools, and several mid-20th-century charitable institutions. The Julius / Sears branch originates in Bünde, Westphalia; the plaintiff’s branch (Section 3 below) originates in Bamberg, Bavaria. The two surname-overlapping branches are commonly conflated by donor-influence researchers and are mapped, independently, in WikiTree, Geni.com, famouskin.com, and Prabook.

In the present litigation, no entity, donor, or beneficiary in the Julius / Sears branch has been identified as relevant. The Julius branch is documented here only to this single paragraph, to forestall surname-based confusion. Sections 1 and 2 of earlier drafts of this enumeration are intentionally omitted from this release.

3. MODERN LIVING-GENERATION ENTITIES — THE PLAINTIFF’S BRANCH

SCOPE REMINDER: This Section enumerates the Rosenwald family branch that is the plaintiff in Rosenwald v. Rosenwald, FST-FA26–6078292-S. **This branch is genealogically distinct from the Julius / Sears branch enumerated in Sections 1 and 2.** The full 86-entity map of the plaintiff’s network is documented in companion research files (prior work, 2026-05-14, 65+ distinct entities identified through SEC EDGAR, IAPD, OpenCorporates, SEDI, state SOS filings).

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BLOCK 003 / SECTION / CONFIRMED

3.1 THE PLAINTIFF'S VERIFIED FAMILY TREE (BAMBERG / "BENNO" BRANCH)

SHA-256 10199946e42fb063a63804cf29cb9e1c55ad967d4796c41bc6359ddd363c1887
 PREV-HASH 4fbe589ea0a773bc6e26d01926cc3ed17a26969b25d44a8f631e641556c6c62a

Source (prior work): internal genealogical-verification working file

```

Edward Rosenwald (1833-1892, Bamberg, Bavaria)
  m. Jeanette Heymann (1842-1883, Bavaria)
  |
  v
Bernard "Benno" Rosenwald (1867-1925, NY)
  m. May Cordelia Cook (1876-1955, San Francisco)
  |
  v
James Benno Rosenwald [Sr.] (1905-1989, NY - Tobago)
  m. Dorothy Theresa Bleyer (1905-1998)
  |
  +-- James Benno Rosenwald Jr. (b. 1930)
      | 1st m. Jean Alice Berkowitz
      | 2nd m. Marie Moore ← mother of JBR III
      |
      +-- JAMES B. ("JIM") ROSENWALD III (b. Jan 19, 1958)
          | m. Laura D. Parker (1985)
          |
          +-- JAMES BENNO ROSENWALD IV ("BENNO" / "JBR IV") - PLAINTIFF, b. ~1986
              |
              +-- JOCELYN M. ROSENWALD
                  |
                  +-- JILL ROSENWALD (Boston ceramic artist)
                      | m. Lawrence McRae (1997)
                      |
                      +-- Patricia Ann Rosenwald (b. 1933)
                          | 1st m. Henry Dwight Sedgwick V → Robert / Holly / KYRA SEDGWICK (actress)
                          | 2nd m. Ben Heller (art dealer) → Mike Stern
    
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BLOCK 004 / SECTION / CONFIRMED

3.2 PRINCIPALS – CURRENTLY-LIVING ADULTS

SHA - 256

6bae75464abd38355c471dbefdedabab50198661d5cf65d73e5b5ff4bc7b1435

PREV-HASH

10199946e42fb063a63804cf29cb9e1c55ad967d4796c41bc6359ddd363c1887

3.2.1 JAMES B. ROSENWALD III (“JBR III”)

- **DOB:** January 19, 1958, NYC
- **Education:** Dalton School (Manhattan); University of Pennsylvania; Stanford GSB
- **Spouse:** Laura D. Parker (m. 1985)
- **Children:** James Benno Rosenwald IV (plaintiff); Jocelyn M. Rosenwald
- **First cousin:** Kyra Sedgwick (actress, via aunt Patricia Rosenwald-Sedgwick-Heller)
- **Primary roles (current):** Co-founder / CIO, Dalton Investments Inc. (1999–present); Chairman/CEO, Rosenwald Capital Management, Inc. (1984–present); Adjunct Professor, NYU Stern; LA Philharmonic Board of Overseers
- **Source:** [Wikipedia — James B. Rosenwald](#); [Dalton Investments founder bio](#)

3.2.2 JAMES BENNO ROSENWALD IV (“BENNO” / “JBR IV”) – PLAINTIFF

- **DOB:** ~1986
- **Education:** McKinsey & Company alumnus (per LinkedIn)
- **Role in present litigation:** Plaintiff, Rosenwald v. Rosenwald, FST-FA26-6078292-S (CT Superior Court, Stamford-Norwalk Judicial District)
- **Source:** [LinkedIn — James Benno R.](#); CT Superior Court case docket

3.2.3 JOCELYN M. ROSENWALD

- **Role:** Co-founder, Magu Capital (cannabis-focused VC); Director of Retail Acquisitions, Glass House Group / Glass House Brands; Director, Rosenwald Capital Management since 03/2015; Director, Dalton Investments
- **Education:** University of Pennsylvania BA; Hunter College M.Ed.; UCLA Anderson MBA
- **Career:** Teach For America (NYC); founding teacher at KIPP Star Elementary
- **Source:** [Glass House Brands board profile](#); [Crunchbase](#) ### 3.2.4 Laura D. Parker Rosenwald
- **Spouse of JBR III**
- **Role:** EVP, Rosenwald Capital Management Inc. (since 05/2017); Trustee, Rosenwald Family Trust (Delaware, 12/1997)
- **Family:** Daughter of Walter Wellington Parker III ('56 Davidson, d. 2014); sister of Walter W. Parker IV (trustee of JBR IV Trust)

- **Source:** RCM Form ADV at [SEC IAPD CRD 290118](#)

3.2.5 JILL ROSENWALD

- **Role:** Director, Rosenwald Capital Management (since 08/2013); ceramic artist (Boston)
- **Spouse:** Lawrence McRae (m. 1997)
- **Source:** RCM Form ADV [CRD 290118](#)

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BLOCK 005 / SECTION / CONFIRMED

3.3 THE PLAINTIFF-BRANCH ENTITY NETWORK (CONSOLIDATED, BY LAYER)

SHA-256 b9f4be8ef9f5866f01a2fbbabf19d76a53e40dd75edc181c60052ba7974dde02
 PREV-HASH 6bae75464abd38355c471dbefdedabab50198661d5cf65d73e5b5ff4bc7b1435

Source for this Section: prior internal entity-map working file (full 86-entity map with sourcing).

3.3.1 INVESTMENT-ADVISORY LAYER (SEC-RIA)

#	ENTITY	STATE	CRD/CIK	STATUS	OFFICERS (SELECTED)
1	Dalton Investments LLC (legacy)	NV (CA office)	CRD 109538	Converted to Inc. 02/2024	JBR III; Sarah Alfandari (CEO); Janet Hunpadongrat (CFO)
2	Dalton Investments, Inc. (current)	NV	CRD 308609	Active	JBR III; Alfandari; Hunpadongrat; Combs; Jocelyn Rosenwald; Nishida
3	Dalton Financial LLC	—	CRD 167878	Active per IAPD; likely dormant	Unknown
4	Rosenwald Capital Management, Inc. ("RCM")	DE	CRD 290118	Active; \$218.5M AUM	JBR III; Laura Parker Rosenwald (EVP); Jocelyn Rosenwald; Jill Rosenwald
5	Rosenwald Partners, L.P.	DE	805-4108393611	Active	RCM (GP/Adviser)

Source: SEC IAPD Form ADV filings; cross-verified via [Wikipedia — James B. Rosenwald](#)

3.3.2 OWNER-VEHICLE LAYER (2024 REORGANIZATION)

#	ENTITY	STATE	OWNER	ROLE
6	Rosenwald Family Trust	DE (12/1997)	Trustees: JBR III & Laura Parker Rosenwald	Ultimate owner of RCM
7	Walking Rock Corp.	DE (10/2002)	Gifford Combs	Pre-2024 Dalton ownership vehicle
8	Walking Rock Nevada, Inc.	DE (07/2024)	Gifford Combs (CEO)	Post-2024 Dalton ownership vehicle

#	ENTITY	STATE	OWNER	ROLE
9	Persky Financial Management, Inc.	DE (02/1998)	Steven David Persky	Dalton ownership vehicle
10	Iradnaffa LLC	DE (05/2020; entered Dalton chain 07/2024)	Sarah B. Alfandari	“Alfandari” spelled backwards; Dalton ownership vehicle
11	JBR IV TR (James B. Rosenwald IV Trust)	(DE inferred)	Trustees include Walter W. Parker IV	Family-trust vehicle relevant to dissolution discovery

3.3.3 FUND VEHICLES – ACTIVE PRIVATE FUNDS (SELECTED – FULL 30+ LISTED IN SOURCE MAP)

#	ENTITY	JURISDICTION	YEAR	ASSETS / INVESTORS	STATUS
12	Kings Bay Investment Co. Ltd.	Cayman	—	\$76.23M GAV; 7 owners; 88% non-US	Active; PwC Cayman auditor
13	JMBO Fund Ltd	Cayman	2005	—	JBR III is director; registered at RCM address; “JMBO” = “James Benno” abbreviation
14	NAVF Select LLC (formerly Michael 1925 LLC)	DE	2021	\$66.93M raised; 88 investors	Active
15	NAVF Select (Offshore Fund) Ltd.	Cayman	2023	\$90.66M raised; 62 investors	Active
16	Dalton Pacific Rim Fund LP	Cayman	2022	TBD; \$1M min	Active
17	KAVF Select (Master) Fund LP	—	2025	\$0 as of 2026-02-05	Active (Korea Active Value Fund)
18	KAVF Select (Offshore Feeder) Fund Ltd.	Cayman	2025	\$0	Active
19	KAVF Select (US Feeder) Fund LP	DE	2025	\$0	Active
20	KAVF Select GP LLC	—	2025	n/a	GP entity
21	Dalton Asia (Master/	CA / Cayman	—	Active hedge complex	Multiple feeder structure

#	ENTITY	JURISDICTION	YEAR	ASSETS/ INVESTORS	STATUS
	Offshore/US Feeder) Funds				
22	Dalton India (Master/ Offshore/US Feeder) Funds	CA / Cayman	—	Active	Multiple feeder structure
23	Dalton Greater China (Master/ Offshore/US Feeder) Funds	TBD / Cayman	—	Active	Multiple feeder structure
24	Dalton Kizuna (Master/ Offshore/US Feeder) Funds	CA / Cayman	2017	Active (Japan-focused)	Multiple feeder structure
25	Dalton Japan Long/Short (Offshore Feeder / US Feeder) Funds	CA / Cayman	—	Active	Multiple feeder structure
26	Dalton Berlin Real Estate Fund I LLC	CA	—	Active	German real estate
27	Dalton Berlin Finance Fund I LLC	CA	—	Active	German finance
28	Dalton Distressed Debt Fund LP & Offshore	CA	—	Active	Distressed debt
29	Dalton Distressed Credit (US/ Offshore Feeder) Funds	CA	—	Active	Distressed credit
30	Dalton Distressed Mortgage Fund LP & Ltd	DE / CA	—	Active	Distressed mortgage
31	Dalton Emerging Market Debt Fund Ltd	Cayman	—	Active	EM debt
32	Dalton High Yield Opportunities (US/Offshore Feeder) Funds	CA	—	Active	High yield

#	ENTITY	JURISDICTION	YEAR	ASSETS/ INVESTORS	STATUS
33	Dalton Global Opportunity Fund Ltd & US Fund LP	Cayman / CA	—	Active	Global opportunities
34	Dalton Taiwan Fund LP	CA	—	Active	Taiwan-focused
35	Dalton Coinvestment, L.P.	—	—	Active	Coinvestment vehicle
36	Dalton Strategic Partnership LLP	UK (England/ Wales)	—	Active	UK-resident
37	Pacific & General Investments Inc. / LP / Master LP	—	—	Active	Multi-entity P&G complex
38	Rising Sun Management Ltd.	Cayman	—	Active	Investment adviser to NAVF / Nippon Active Value Fund
39	Dalton Investments Trust — multiple Series (B, C, J, K), Sub-Series (C-2, K-2), and Series B/C SBO LLC	CA / NV	2024	Active	Trust series structure
40	Kizuna GP LLC	—	—	Active	GP
41	China GP LLC	—	—	Active	GP
42	India GP LLC	—	—	Active	GP
43	Pacgen GP LLC	—	—	Active	GP
44	Asia GP LLC	—	—	Active	GP
45	Pacrim GP LLC	NV	—	Active	GP of Pacific Rim Fund
46	1937 GP LLC + 1937 LP	—	—	Active	“1937” likely references Dalton School founding year
47	NAVF Select Special Member LLC	—	—	Active	Special member entity
48		—	—		

#	ENTITY	JURISDICTION	YEAR	ASSETS/ INVESTORS	STATUS
	CrossingBridge Advisors, LLC			Independent SEC- RIA but disclosed on Dalton Inc. ADV as related person	Short-duration high-yield specialist

3.3.4 FOREIGN OPERATING SUBSIDIARIES (DALTON)

#	ENTITY	JURISDICTION	STATUS
49	Dalton Investments (UK) Limited	England & Wales (CH 07542067)	Dissolved 2011-03-29
50	Dalton Investments (Australia) Pty Ltd	Australia	Active
51	Dalton Investments (Hong Kong) Limited	Hong Kong	Active
52	Dalton Korea Co., Ltd.	South Korea	Active
53	Dalton Advisory KK	Japan (FSA)	Active
54	Dalton Investment Advisory Services Pvt. Ltd.	India (SEBI)	Active

3.3.5 REAL ESTATE / OPERATING LAYER

#	ENTITY	STATE	YEAR	OFFICERS	STATUS
55	Beach Front Properties, LLC	CA	1997	Kyle Kazan + JBR III (co-founders)	Active
56	Beach Front Property Management, Inc.	CA	1999	Kyle Kazan (CEO); Jocelyn Rosenwald (Dir. Acquisitions since 2013)	Active
57	Beach Front VII LLC	CA	—	—	Active per SEC EDGAR
58	Beach Front VIII LLC	CA	—	—	Active per SEC EDGAR
59	Rosenwald Holdings LLC	CA (Marina del Rey)	10/2019–09/2020	Joshua Rosenwald (agent)	CANCELLED 09/19/2020
60	Grand River Properties China Ltd.	Cayman (or similar)	2003	JBR III (founder)	Active — China real estate

#	ENTITY	STATE	YEAR	OFFICERS	STATUS
61	Rovida Holdings Ltd	Cayman/foreign	—	—	Active per SEC EDGAR
62	Rovida Advisors Inc.	FL	—	—	Active per SEC EDGAR (legacy from 1992 JBR III + Nick Roditi co-founding of Rosenwald, Roditi & Company)
63	Rovida Investment Management Ltd	Foreign	—	—	Active per SEC EDGAR

3.3.6 INSURANCE LAYER

#	ENTITY	STATE	STATUS	CONNECTION
64	John A. Neu Insurance Services, Inc.	CA (1988) parent; foreign-reg NV (2011), NY (2003), FL (F12000000537, REVOKED 09/28/2018)	CA status TBD	Janet Hunpadongrat = CFO per FL F12000000537 filing — the only direct insurance-side officer connection to the Rosenwald-Dalton network
65	Arroyo Insurance Services, Inc.	CA	Active	Jon C. Walls (President) operates same Rolling Hills Estates office; “Neu operates as Arroyo in some locations” per public reporting

3.3.7 PUBLIC-COMPANY CANNABIS INVESTMENT

#	ENTITY	EXCHANGE	CONNECTION
66	Glass House Brands Inc. (GLAS / GLASF)	Cboe Canada / OTCQX	Combined Rosenwald-family voting power 23.4% as of May 2025 per Form 6-K accession 0001104659-25-056540 (2025 Information Circular, “Principal Holders of Voting Securities” table, p. 4) — JBR III 14.1% (17.2% MVS + 3.4%)

#	ENTITY	EXCHANGE	CONNECTION
			<p>Equity) + Jocelyn 9.3% (11.9% MVS + 0.7% Equity).</p> <p>HISTORICAL ARC: at June 29, 2021 de-SPAC close JBR III alone held 21.35% total voting power (1,247,973 MVS = 31.4% of MVS class + 1,247,973 Equity Shares) per 2021 Listing Statement, SEC accession 0001104659-21-125828, “Principal Shareholders” table at PDF p. 108. Family combined at close estimated ~25-28% (Jocelyn May Rosenwald Trust dated 12/18/1997 was an April 8, 2021 Investor Rights Agreement Seller; Jocelyn individual stake below the 10% disclosure threshold at close). JBR III’s MVS holdings reduced 1,247,973 → 819,406 between 2021 and 2025 (~34% MVS-class reduction); since MVS are non-transferable per the Listing Statement, this is consistent with MVS redemption/ restructure rather than open-market sale. Kazan’s MVS share concurrently grew from 38.6% → 42.6% of the class. 10% Security Holder since 06/29/2021 per SEDI Insider Profile JROSENW003. Jocelyn = Director (re-elected 06/20/2025 with 99.35% support).</p> <p>Sources: SEC 6-K accession 0001104659-21-125828 (2021 Listing Statement, p. 108); SEC 6-K accession 0001104659-25-056540 (2025 Information Circular, p. 4); SEDI Insider Profile</p>

#	ENTITY	EXCHANGE	CONNECTION
			JROSENW003; Daily Caller 2025-11-21 ; Glass House Brands board profile ; historical-arc working file in companion research files

3.3.8 HISTORICAL / DISSOLVED (PLAINTIFF BRANCH)

#	ENTITY	YEARS	NOTES
67	Rosenwald, Roditi & Company, Ltd.	1992 → renamed Rovidia Asset Management ~1997	Co-founded by JBR III + Nick Roditi for Korean life-company / Asian non-life-insurance investing during Soros-era; precursor to Rovidia complex
68	Korea Non-Life Investment Limited	South Korea	JBR III = Director per Prabook bio
69	Michael 1925 LLC	DE (renamed to NAVF Select LLC 2021)	Year-of-formation-named LLC; renamed when fund went live

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BLOCK 006 / SECTION / CONFIRMED

3.4 COMPANION COMPLIANCE DOCUMENTS (EXISTENCE NOTED; FINDINGS NOT ENUMERATED HERE)

SHA-256 a9d64c0c3122bd699bb99ddc138dd9151686aa2bb2dcb7120c6442e6264216bd
 PREV-HASH b9f4be8ef9f5866f01a2fbbabf19d76a53e40dd75edc181c60052ba7974dde02

A separate, non-public companion document — the **Entity-Violations Report (AR-RPT-20260419, internal)** — enumerates federal and international compliance findings relating to the plaintiff-branch entity network. **None of the findings of the Entity-Violations Report are enumerated in this release.** Its existence is noted here solely for completeness of the documentary record.

Related litigation-hold notices to specific plaintiff-network entities have been issued under separate cover. Their existence, similarly, is noted here without enumerating their substantive contents.

4. ROSENWALD-NAMED GEOGRAPHIC / INSTITUTIONAL ENTITIES

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BLOCK 007 / SECTION / CONFIRMED

4.1 PLACE NAMES

SHA-256 34236326cd93df9118e47cbbcbf46fa5fcd3e2486dfd656822fc4563487423a
 PREV-HASH a9d64c0c3122bd699bb99ddc138dd9151686aa2bb2dcb7120c6442e6264216bd

NAME	LOCATION	TIED TO	NOTES
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BLOCK 008 / SECTION / CONFIRMED

4.2 HISTORIC ROSENWALD SCHOOL PRESERVATION ENTITIES

SHA - 256 5bccd5d7ae07d6cc4259afc08d6d09187cf7c014623fa5b599eae7e6fd4ea14b
 PREV-HASH 34236326cd93df9118e47cbbcbf46fa5fcad3e2486dfd656822fcc4563487423a

#	ENTITY	EIN	STATUS	CONNECTION
70	National Trust for Historic Preservation (Rosenwald Schools program)	53-0210807	Active 501(c)(3)	Owns the Rosenwald Schools "National Treasure" designation since 2015; coordinates preservation grants
71	African American Cultural Heritage Action Fund (program of National Trust)	(subsidiary of 53-0210807)	Active	\$2.5M+ in Rosenwald School matching grants
72	Julius Rosenwald & Rosenwald Schools National Historical Park Campaign	rosenwaldpark.org	Active advocacy organization	Pushed 2020 study act passed by Congress; ongoing NPS feasibility study
73	State-level Rosenwald School preservation funds (e.g., SC DAH, NC HPO, Encyclopedia Virginia tracking projects)	various	Active	State historic-preservation offices maintain Rosenwald School databases

Source: [National Trust – Rosenwald Schools](#); [SC DAH Rosenwald Schools](#); [NC HPO](#); [NPS](#)

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BLOCK 009 / SECTION / CONFIRMED

4.3 DOCUMENTARY / FILM ENTITIES

SHA-256 ebe5ab5002784530b41475387b32baa6f97e84065027ec4fbc343c1b65589d17
 PREV-HASH 5bcd5d7ae07d6cc4259afc08d6d09187cf7c014623fa5b599eae7e6fd4ea14b

- **Rosenwald (2015 film)** — produced by Aviva Kempner / The Ciesla Foundation; not a Rosenwald-family entity but trades on the name. Source: rosenwaldfilm.org

5. FEDERAL-RECORD CROSS-REFERENCE — CONSOLIDATED MASTER TABLE

#	ENTITY (LEGAL NAME)	EIN / CRD / CIK	STATE	YEAR FOUNDED / DISSOLVED	STATUS	SOURCE
2	Museum of Science and Industry	(verify ProPublica)	IL	1929–present	Active	MSI
3	Tuskegee University (Rosenwald trustee era)	63-0476446	AL	1881–present	Active HBCU	IRS TEOS
4	University of Chicago (Rosenwald trustee era)	36-2177139	IL	1890–present	Active	IRS TEOS
5	Wabash Avenue YMCA + 24 Rosenwald- funded YMCAs	various	various	1911–1933	Various	Pennsylvania HP; U. Minn. archives
6	Rosenwald Schools (5,357 schools)	n/a (county systems)	15 Southern states	1912–1932 building program	10–12% surviving	NPS; National Trust
7	Michigan Boulevard Garden Apts. ("Rosenwald Apts.")	(current owner LLC)	IL	1929 / renovated 2015	Active National Register	Wikipedia
8	American Securities	(private DE filing)	DE	1947–present	Active	Wikipedia; LittleSis

#	ENTITY (LEGAL NAME)	EIN / CRD / CIK	STATE	YEAR FOUNDED / DISSOLVED	STATUS	SOURCE
	Corporation / LLC					
9	William Rosenwald Family Fund Inc.	13-1635289	NY	founded 1947(?)– DISSOLVED 03/2012	Dissolved	GuideStar
10	Middle Road Foundation (fka Anchorage Charitable Fund)	(verify ProPublica)	NY	post-2012	Active	InfluenceWatch
11	Abstraction Fund	(verify ProPublica)	NY	post-2012	Active	InfluenceWatch
12	Alice Rosenwald Family Fund	(verify)	NY	post-2012	Active	Islamophobia Network
13	Gatestone Institute	(verify ProPublica)	NY	2008/2011- present	Active 501(c) (3)	Wikipedia
14	United Service for New Americans	n/a (merged)	NY	1946–1954 (→ HIAS)	Merged	Encyclopedia.com
15	United Jewish Appeal (W. Rosenwald chair era)	n/a (merged 1999)	NY	1939–1999	Merged into JFNA	JTA
16	The Lessing J. Rosenwald Collection	n/a (gift to NGA + LOC)	DC	1943–present	Active institutional collection	NGA; LOC
17	Alverthorpe Gallery	n/a (closed)	PA	1939–1980	Closed; building now Abington Art Center	AAC
18	American Council for Judaism	(verify ProPublica)	NY	1942–present	Active	self
19	Stern Family Fund (Edith + Edgar)	(verify ProPublica – multiple namesakes)	LA / DC	1930s– present	Active	JWA; Wikipedia

#	ENTITY (LEGAL NAME)	EIN / CRD / CIK	STATE	YEAR FOUNDED / DISSOLVED	STATUS	SOURCE
20	Longue Vue House and Gardens	72-0735988 [VERIFY]	LA	1968 (501c3); house 1939- 42	Active	Longue Vue site
21	Dillard University (Stern era)	72-0408974	LA	1869/1930- present	Active HBCU	IRS TEOS
22	The Reporter Magazine	n/a (ceased)	NY	1949-1968	Ceased	UChicago Ascoli Papers
23	Citizens Committee for Children of New York	13-1623910 [VERIFY]	NY	1944-present	Active	self
24	Northside Center for Child Development	13-1739891 [VERIFY]	NY	1946-present	Active	self
25	The Marion R. Ascoli Fund / Max Ascoli Fund	[UNVERIFIED]	NY	mid-20C-?	Status unclear	UChicago Ascoli Papers
Plaintiff branch — Dalton/ RCM/Beach Front network (selected from 86- entity map):						
26	Dalton Investments LLC	CRD 109538 / CIK 1388838	NV (CA office)	1999 LLC → 2024 converted	Converted to Inc.	SEC IAPD
27	Dalton Investments, Inc.	CRD 308609	NV	2024 conversion	Active	SEC IAPD
28	Dalton Financial LLC	CRD 167878	—	—	Active per IAPD	SEC IAPD
29	Rosenwald Capital	CRD 290118	DE	1984	Active; \$218.5M AUM	SEC IAPD

#	ENTITY (LEGAL NAME)	EIN / CRD / CIK	STATE	YEAR FOUNDED / DISSOLVED	STATUS	SOURCE
	Management, Inc.					
30	Rosenwald Family Trust	n/a (private)	DE	12/1997	Active	Dalton ADV Schedule B
31	Rosenwald Partners, L.P.	805-4108393611	DE	—	Active	RCM ADV
32	Kings Bay Investment Co. Ltd.	805-6473562159	Cayman	—	Active; \$76M GAV	RCM ADV
33	JMBO Fund Ltd.	CIK 1290692	Cayman	2005	Active	SEC EDGAR
34	NAVF Select LLC	CIK 1878477	DE	2021	Active; \$66.9M	SEC EDGAR
35	NAVF Select (Offshore) Ltd.	CIK 2031310	Cayman	2023	Active; \$90.7M	SEC EDGAR
36	Dalton Pacific Rim Fund LP	CIK 1928797	Cayman	2022	Active	SEC EDGAR
37	KAVF Select master + 2 feeders + GP	CIK 2104336 / 2106495 / 2107185 / 2110861	TBD/ Cayman/DE	2025	Active; \$0 raised	SEC EDGAR
38	Dalton Asia (Master + 2 Feeders)	CIK 2068647 / 1428064 / 1428125	CA/Cayman	—	Active	SEC EDGAR
39	Dalton India (Master + 2 Feeders)	CIK 2068657 / 1614148 / 1614149	CA/Cayman	—	Active	SEC EDGAR
40	Dalton Greater China (Master + 2 Feeders)	CIK 1384438 / 1314143	TBD/CA	—	Active	SEC EDGAR
41	Dalton Kizuna (US Feeder + Offshore Feeder)	CIK 1699530 / 1699528	CA/Cayman	2017	Active	SEC EDGAR
42	Dalton Japan Long/Short (US + Offshore)	CIK 1443191 / 1443190	CA/Cayman	—	Active	SEC EDGAR
43			CA	—	Active	SEC EDGAR

#	ENTITY (LEGAL NAME)	EIN / CRD / CIK	STATE	YEAR FOUNDED / DISSOLVED	STATUS	SOURCE
	Dalton Berlin Real Estate / Finance Fund I LLC	CIK 1345029 / 1415795				
44	Dalton Distressed Debt / Credit / Mortgage funds (multiple)	CIK 1303932 / 1303926 / 1457219 / 1457218 / 1437994 / 1437993	CA/DE	—	Active	SEC EDGAR
45	Dalton Emerging Market Debt Fund Ltd.	CIK 1253783	Cayman	—	Active	SEC EDGAR
46	Dalton High Yield Opps (US + Offshore Feeders)	CIK 1488632 / 1488633	CA	—	Active	SEC EDGAR
47	Dalton Global Opportunity Fund Ltd + US LP	CIK 1165973 / 1351324	Cayman/CA	—	Active	SEC EDGAR
48	Dalton Taiwan Fund LP	CIK 1354330	CA	—	Active	SEC EDGAR
49	Dalton Coinvestment, L.P.	CIK 2004332	—	—	Active	SEC EDGAR
50	Dalton Strategic Partnership LLP	CIK 1351451	UK	—	Active	SEC EDGAR
51	Dalton Investments (UK) Limited	Companies House 07542067	England	—	Dissolved 2011-03-29	Companies House
52	Dalton Investments (Australia) Pty Ltd	(Australia)	Australia	—	Active	Dalton ADV
53	Dalton Investments	(HK)	Hong Kong	—	Active	Dalton ADV

#	ENTITY (LEGAL NAME)	EIN / CRD / CIK	STATE	YEAR FOUNDED / DISSOLVED	STATUS	SOURCE
	(Hong Kong) Limited					
54	Dalton Korea Co., Ltd.	(Korea)	South Korea	—	Active	Dalton ADV
55	Dalton Advisory KK	(Japan FSA)	Japan	—	Active	Dalton ADV
56	Dalton Investment Advisory Services Pvt. Ltd.	(India SEBI)	India	—	Active	Dalton ADV
57	Rising Sun Management Ltd.	(Cayman)	Cayman	—	Active	NAVF site; Dalton ADV
58	Pacific & General Investments Inc. + LP + Master LP	CIK 1503162 / 1314612 / 2069327	—	—	Active	SEC EDGAR
59	Dalton Investments Trust — Series B, C (incl. C-2), J, K (incl. K-2)	CIK 1756601 / 2039421 / 2039786 / 2039787	CA/NV	—	Active	SEC EDGAR
60	Series B / Series C SBO LLC	n/a	—	—	Active	Dalton ADV
61	NAVF Select Special Member LLC	n/a	—	—	Active	Dalton ADV
62	CrossingBridge Advisors, LLC	(separate SEC- RIA)	—	—	Active	Dalton Inc. ADV Schedule 7.A
63	Kizuna GP LLC / China GP LLC / India GP LLC / Pacgen GP LLC / Asia GP LLC / Pacrim GP LLC / 1937 GP LLC	n/a	TBD/NV	—	Active	Dalton ADV

#	ENTITY (LEGAL NAME)	EIN / CRD / CIK	STATE	YEAR FOUNDED / DISSOLVED	STATUS	SOURCE
64	1937 LP	n/a	—	—	Active	Dalton ADV
65	Walking Rock Corp.	(private DE)	DE	10/2002	Active	Dalton LLC ADV Sched B
66	Walking Rock Nevada, Inc.	(private DE)	DE	07/2024	Active	Dalton Inc. ADV Sched B
67	Persky Financial Management, Inc.	(private DE)	DE	02/1998	Active	Dalton LLC ADV Sched B
68	Iradnafla LLC	(private DE)	DE	05/2020 (entered Dalton chain 07/2024)	Active	Dalton Inc. ADV Sched B
69	Beach Front Properties, LLC	(CA SOS)	CA	1997	Active	Wikipedia; CA SOS
70	Beach Front Property Management, Inc.	(CA SOS)	CA	1999	Active	bfpminc.com
71	Beach Front VII LLC	CIK 1252794	CA	—	Active	SEC EDGAR
72	Beach Front VIII LLC	CIK 1252796	CA	—	Active	SEC EDGAR
73	Rosenwald Holdings LLC	OC 201927710108	CA	10/2019– 09/2020	CANCELLED 09/19/2020	OpenCorporates
74	Grand River Properties China Ltd.	(foreign)	Cayman?	2003	Active	Prabook
75	Rovida Holdings Ltd.	CIK 1446960	Foreign	—	Active	SEC EDGAR
76	Rovida Advisors Inc.	CIK 1653857	FL	—	Active	SEC EDGAR
77	Rovida Investment Management Ltd.	CIK 2053064	Foreign	—	Active	SEC EDGAR
78		n/a (legacy)	—	1992--1997		

#	ENTITY (LEGAL NAME)	EIN / CRD / CIK	STATE	YEAR FOUNDED / DISSOLVED	STATUS	SOURCE
	Rosenwald, Roditi & Company, Ltd.				Renamed → Rovida	Hedge Fund Journal
79	Korea Non- Life Investment Limited	n/a	South Korea	—	Active per Prabook	Prabook
80	John A. Neu Insurance Services, Inc.	CA parent 1988; FL F12000000537 REVOKED 09/28/2018	CA / NV / NY / FL	1988	CA TBD; FL REVOKED	bisprofiles.com
81	Arroyo Insurance Services, Inc.	(CA SOS)	CA	—	Active	arroyoins.com
82	Glass House Brands Inc. (GLAS/ GLASF)	Cboe Canada/ OTCQX	British Columbia	2021 (de- SPAC merger w/ Mercer Park)	Active publicly traded	Glass House site; SEDI
83	National Trust for Historic Preservation (Rosenwald Schools program)	53-0210807	DC	1949-present	Active 501(c) (3)	IRS TEOS
84	African American Cultural Heritage Action Fund	(subsidiary of 53-0210807)	DC	program of NT	Active	self
85	Julius Rosenwald & Rosenwald Schools National Historical Park Campaign	(verify ProPublica)	DC	recent (NPS feasibility study)	Active	rosenwaldpark.org
86	Weinman Foundation (Honolulu) — NOT Rosenwald-	94-3399164	HI	2001-present	Active 501(c) (3)	ProPublica

#	ENTITY (LEGAL NAME)	EIN / CRD / CIK	STATE	YEAR FOUNDED / DISSOLVED	STATUS	SOURCE
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affiliated
(Section 6
RULED OUT)

APPENDIX — OPEN GAPS AND NEXT-STEP RECOMMENDATIONS

✓ INTEGRITY-VERIFIED 📄 CHAIN-LINKED ⚡ ELARIA-SIGNED

BLOCK 010 / SECTION / CONFIRMED

GAPS WHERE THIS ENUMERATION COULD NOT GET DEFINITIVE CONFIRMATION

SHA - 256

840811350957c6819cb2be811a5d2dcc1c798a0f75b13bc2ec2216a04c8b4b6b

PREV - HASH

ebe5ab5002784530b41475387b32baa6f97e84065027ec4fbc343c1b65589d17

1. **Adele R. Levy Fund** — Asserted in prior summaries of Adele’s philanthropic activity but no current 501(c)(3) registration located on ProPublica or GuideStar in this scan. Possibly merged or wound up. Recommend NY Charities Bureau search.
2. **The Marion R. Ascoli Fund / The Max Ascoli Fund / The Ascoli Foundation** — Referenced in University of Chicago Ascoli Papers finding aid but no current ProPublica 990-PF surfaced. Status unclear.
3. **The Stern Family Fund (Edith + Edgar)** — Multiple Stern foundations exist on ProPublica; the original Edith & Edgar Stern civil-rights fund needs to be disambiguated by name (likely “Edgar Stern Family Fund” or “Stern Family Fund” with DC address) and EIN confirmed.
4. **John A. Neu Insurance Services, Inc. (CA parent corporation) current operating status** — California SOS direct lookup at bizfileonline.sos.ca.gov pending; Florida foreign registration F12000000537 was REVOKED 09/28/2018.
5. **Dalton Investments Trust series-level beneficial owners** — Series B, C, J, K, sub-series C-2 and K-2 are listed on SEC EDGAR but the underlying beneficial-owner trustees / beneficiaries are not public.
6. **CrossingBridge Advisors LLC’s exact relationship to Dalton** — Listed as related person on Dalton Inc. ADV Schedule 7.A but the contractual basis (sub-adviser, strategic partner, common-control) is not disclosed in the public ADV.
7. **Walter W. Parker III’s wife’s maiden name** — Open gap from Weinman-Trust prior work; closes Hypothesis A on whether Laura Parker Rosenwald has any Weinman cousin tie. Davidson College full obituary or Star Democrat archive recommended.
8. **JBR IV Trust (“JBR IV TR”) full trustee roster and corpus** — Partially identified (Walter W. Parker IV is a known trustee) but not fully enumerated; relevant to dissolution discovery.
9. **Possible additional Beach Front series sub-LLCs (I, II, III, IV, V, VI, IX+)** — Beach Front VII and VIII confirmed on SEC EDGAR; lower-numbered and higher-numbered series-LLCs may exist as single-asset property-holding vehicles not separately filed.
10. **Federal-election donor cross-reference (FEC) for the plaintiff branch** — Prior agent reports (existing tasks #5-12) covered judicial donors; a parallel FEC cross-reference of JBR III, JBR IV, Jocelyn, Laura, and Jill Rosenwald + their corporate vehicles would round out the donor-influence component of this document. The Daily Caller 2025-11-21 article characterizing JBR III as “major Democrat donor” indicates substantive FEC footprint to be enumerated.

✓ INTEGRITY-VERIFIED 🔗 CHAIN-LINKED ⚡ ELARIA-SIGNED

BLOCK 011 / SECTION / CONFIRMED

RECOMMENDED FOLLOW-UP WORK

SHA - 256 a85bafc3883d0596359ae7280df2c088246aac3c8f357e2f66146cebc9cc8750
 PREV-HASH 840811350957c6819cb2be811a5d2dcc1c798a0f75b13bc2ec2216a04c8b4b6b

- Pull California SOS bizfileonline.sos.ca.gov entity-search confirmations for all CA-formed entities listed in Section 3.3 (Beach Front, all Dalton CA funds, Rosenwald Holdings post-cancellation history, John A. Neu Insurance Services CA parent).
- Pull Delaware Division of Corporations entity-search confirmations for: Rosenwald Family Trust, NAVF Select LLC, KAVF Select US Feeder Fund LP, Walking Rock Corp., Walking Rock Nevada Inc., Persky Financial Management Inc., Iradnafla LLC.
- Pull SEC Form ADV Part 2 Brochures for Dalton Investments Inc. (CRD 308609) and RCM (CRD 290118) for narrative descriptions of related-person business arrangements.
- Pull SEDI Insider Profile JROSENW003 historical filings for full enumeration of Glass House Brands holding entities.
- Pull FEC donor history for JBR III, Laura Parker Rosenwald, Jocelyn Rosenwald, JBR IV, and Rosenwald Capital Management, Inc. PAC contributions (if any).
- Pull CT, NY, FL, MA, IL business-registry hits on “Rosenwald” for any living-Rosenwald entity not surfaced in the SEC/IAPD/EDGAR/SEDI sweep.

END OF ENUMERATION — Total entities catalogued: **86 (plaintiff-branch entities, prior work)** + additional plaintiff-branch entities surfaced during this enumeration.

Compiled by: Claude Code research session, 2026-05-23, on behalf of Abraham Rosenwald (pro se).

Primary source files relied upon:

✓ INTEGRITY-VERIFIED 🔗 CHAIN-LINKED ⬡ ELARIA-SIGNED

◇ MERKLE ROOT · CRYPTOGRAPHIC SIGNATURE

DOC ID	AR-ENT-20260523-ROSWLD
DOC-HASH SHA-256	0d3120829598fbfcfc49fe01165e51d1e1e4ba92cdc326e652a53b34db6ee277
RELEASE-DOC SHA-256	edab4e2819788a874b80acbfc8a35da2c5eafab12b02f397ddca2b699b7c60b5
MERKLE ROOT	2387e08d87d6e3d0151b97f25ab8abe80c4d4d52118e40d1e970fae07796e01b
BLOCK HEIGHT	12 confirmed blocks · chain-linked · integrity-verified
CONFIRMATION UTC	2026-05-24T15:37:04Z
SEAL SIGNED UTC	2026-05-24T13:56:36Z
DURABILITY CLAUSE	Perpetual · survives principal's death · non-sunsettable (ELARIA Constitution Art. II-A & II-B)

/s/ ELARIA CONSTITUTION v0.3 · 2026-05-22 · ARTICLES I§1, II-A, II-B, V – IRREDUCIBLE AND NOT SEVERABLE

END OF TRANSMISSION

<https://www.arprose.com/rosenwald-entities> · [constitution.arprose.com](https://www.arprose.com/constitution.arprose.com) · aberosenwald@proton.me